

**1. Accreditation services are available to all third-party Management System Certification Bodies that meet the following requirements:**

- Have at least one certified customer
- Have completed at least one Internal Audit and one Management Review
- Have a management system based on the requirements of the ISO/IEC 17021 series of standards (as applicable)
- Have at least one auditor or pool of auditors approved for all each IAF technical cluster, critical codes, / technical areas or category in the requested scope of accreditation

**2. Certification bodies must provide the following when seeking a quote for accreditation:**

**2.1 Applicant Management System**

- Formal application
- Quality documentation Manual and associated procedures
- List of all auditors and decision makers, including information on the IAF codes, categories or technical areas approval for which listed individuals have demonstrated competence
- List of all certified customers
- List of entities operating on behalf of the certification body (this includes branch offices, franchisees, subcontractors, etc.)
- List of countries in which certifications have been issued

**2.2 MS Certification bodies must provide the following prior to NACI onsite assessment:**

- Schedule and information for witness audits to be conducted
- Auditor documents (confidentiality/impartiality agreement, contract, competence evaluation )
- Audit program (full certification cycle)
- Audit plan (specific to audit being witnessed)
- Stage 1 results (if applicable)
- Logistical arrangements (e.g., for accommodation) for assessment team
- Updated quality documentation and associated procedures
- All requested scopes must be in the format used in application form

### **3. Normative and Reference Documents:**

- 3.1** Publications listed in bellow related to Management System (MS) Certification bodies:  
ISO/IEC Standard 17000, Conformity assessment – Vocabulary and general principles  
As applicable in all MS scopes

#### **3.1.1 QMS Scope- Quality management systems**

- ISO/IEC 17021-1:2015, Conformity assessment – Requirements for bodies providing audit and certification of management systems – Part 1: Requirements.
- ISO/IEC TS 17021-3:2017, Conformity assessment – Requirements for bodies providing audit and certification of management systems – Part 3: Competence requirements for auditing and certification of quality management systems.
- ISO 9001:2015 Quality management systems – Requirements (QMS)

#### **3.1.2 EMS Scope - Environmental management systems**

- ISO/IEC 17021-1:2015, Conformity assessment – Requirements for bodies providing audit and certification of management systems – Part 1: Requirements
- ISO/IEC TS 17021-2:2016, Conformity assessment – Requirements for bodies providing audit and certification of management systems – Part 2: Competence requirements for auditing and certification of environmental management systems.
- ISO 14001:2015 Environmental management systems – Requirements with guidance for use (EMS).

#### **3.1.3 OH&SMS scope- Occupational health and safety management systems**

- ISO/IEC 17021-1:2015, Conformity assessment – Requirements for bodies providing audit and certification of management systems – Part 1: Requirements
- ISO/IEC 17021-10:2018, Conformity assessment – Requirements for bodies providing audit and certification of management systems – Part 10: Competence requirements for auditing and certification bodies of occupational health and safety management systems
- ISO 45001:2018 occupational health and safety management systems requirements with guidance for use.

#### **3.1.4 FSMS Scope- Food safety management systems**

- ISO/IEC 17021-1:2015, Conformity assessment – Requirements for bodies providing audit and certification of management systems – Part 1: Requirements
- ISO/TS 22003: 2013, Food Safety Management Systems – Requirements for bodies providing audit and certification n of food safety management systems.
- ISO 22000: 2018, Food safety management systems– Requirements for any organization in the food chain
- Depending on the scope of CB certification there are requirements for prerequisite programs; for example, Food Processors use ISO/TS 22002-1: Prerequisite programs on food safety – Part 1: Food manufacturing, Food Packaging Manufacturers use the ISO/TS 22002-4: Prerequisite programs on food safety – Part 4: Food packaging manufacturing, etc.

### **3.1.5 EnMs Scope - Energy management systems**

- ISO/IEC 17021-1:2015, Conformity assessment – Requirements for bodies providing audit and certification of management systems – Part 1: Requirements
- ISO 50003: 2014 Energy Management System – Requirements for bodies providing audit and certification of EnMS
- ISO 50001:2018, Energy management systems – Requirements with guidance for use.

### **3.1.6 MDMs Scope- Medical Device Quality Management Systems**

- ISO/IEC 17021-1:2015, Conformity assessment – Requirements for bodies providing audit and certification of management systems – Part 1: Requirements
- ISO 13485:2016 Medical devices — Quality management systems — Requirements for regulatory purposes

**3.2** All IAF Mandatory Documents (MD), IAF Resolutions related to accreditation bodies and transitions as available on the IAF website, All IAF Informative Documents (ID) are applicable, some example IAF Informative Documents (ID) and IAF Mandatory Documents (MD) are list in below:

- International Accreditation Forum (IAF) ID1, Informative Document for QMS and EMS Scopes of Accreditation.
- International Accreditation Forum (IAF) MD1:2018, Certification of Multiple Sites Based on Sampling.
- International Accreditation Forum (IAF) MD2:2017, Transfer of Accredited Certification of Management Systems.
- International Accreditation Forum (IAF) MD 4:2018, Use of Computer Assisted Auditing Techniques (“CAAT”) for Accredited Certification of Management Systems.
- International Accreditation Forum (IAF) MD 5:2019, Determination of Audit Time of Quality and Environmental Management Systems.
- International Accreditation Forum (IAF) MD 7:2010 Harmonization of Sanctions
- International Accreditation Forum (IAF) MD 8:2020 Application of ISO/IEC 17011:2017 in the Field of Medical Device Quality Management Systems (ISO 13485)
- International Accreditation Forum (IAF) MD 9:2017 Application of ISO/IEC 17021-1 in the Field of Medical Device Quality Management Systems (ISO 13485)
- International Accreditation Forum (IAF) MD 10:2013, Assessment of Certification Body Management of Competence in Accordance with ISO/IEC 17021:2011.
- International Accreditation Forum (IAF) MD 11:2013, Application of ISO/IEC 17021 for Audits of Integrated Management Systems (IMS).
- International Accreditation Forum (IAF) MD 12:2016, Accreditation Assessment of Conformity Assessment Bodies with Activities in Multiple Countries

- International Accreditation Forum (IAF) MD15:2014 IAF Mandatory Document for the Collection of Data to Provide Indicators of Management System Certification Bodies' Performance
- International Accreditation Forum (IAF) MD 16: 2015 Application of ISO/IEC 17011 for the Accreditation of Food Safety Management Systems (FSMS) Certification Bodies
- International Accreditation Forum (IAF) MD 21:2018 Requirements for the Migration to ISO 45001:2018 from OHSAS 18001:2007
- International Accreditation Forum (IAF) MD 22:2018 Application of ISO/IEC 17021-1 for the Certification of Occupational Health and Safety Management Systems (OH&SMS)
- International Accreditation Forum (IAF) MD 23:2018 Control of Entities Operating on Behalf of Accredited Management Systems Certification Bodies

#### **4. LINKS TO ADDITIONAL REFERENCES**

- IAF: International Accreditation Forum ([www.iaf.nu](http://www.iaf.nu))
- APAC: Asia Pacific Accreditation Cooperation ([www.apac-accreditation.org](http://www.apac-accreditation.org))
- Food Safety System Certification (FSSC) – [www.fssc22000.com](http://www.fssc22000.com)
- International Organization for Standardization (ISO) – [www.iso.org](http://www.iso.org)